**Date Completed:** Click or tap to enter a date. **ITS-ISO Reviewed:** Click or tap to enter a date.

**Submission of this form confirms that you have a research project that will have Controlled Unclassified Information (CUI) and requires that your project be in the SRI. Discussions of what is determined CUI in your research project should be understood before submission of this form. For questions or concerns regarding CUI content of your project, please contact your industry partner or government sponsor.**

Since your project contains CUI, federal regulations mandate that your data is protected pursuant to the controls found in the CMMC/NIST SP 800-171.

Carefully complete the required information below. As the Principal Investigator (PI), you are ultimately responsible for complying with all contract terms and federal regulations, which include safeguarding your data.

*There are significant criminal and civil penalties for breach of contract and export regulations. Penalties for violating export regulations can include monetary damages up to $1 million dollars and criminal penalties, including jail time (up to 10 years) for individual researchers.  In addition to these penalties, UCONN can lose federal funding and suffer damage to its reputation.*

For more information: <https://security.uconn.edu/secured-research-infrastructure>

**Yes 🞎 No 🞎** I understand that by submitting this form, I am aware of where all of the CUI will be present in this research project (e.g. the complete project is CUI or the parts that are determined to be CUI). This includes any external components or hardware used and if the research data collected from that will be CUI or not. This will need to be known ahead of time for reviewing your technical requirements when meeting to go over this form for properly protecting and maintaining CUI.

# Project Information

1. Lead PI Full Name: Click or tap here to enter text.
2. Co-PI Full Name: Click or tap here to enter text.
3. Project Start Date: Click or tap to enter a date.
4. Project End Date: Click or tap to enter a date.
5. Is this a continuation of a previous award? [ ]  Yes [ ]  No
	1. If Yes, please state the prior InfoEd ID Number: Click or tap here to enter text.
6. Sponsor Organization’s Name: Click or tap here to enter text.
7. Project name: Click or tap here to enter text.
8. InfoEd ID Number (**Required**): Click or tap here to enter text.
9. Brief Description of the Project: Click or tap here to enter text.
10. Who provides your local IT Support: Click or tap here to enter text.

Please list all individuals that will require SRI access as listed in the TCP. This form will not be able to be processed if all individuals listed do not have a NetID or missing any citizenship information.

Researchers who do not have a NetID and need access into the SRI, will need to have the PI request a UConn NetID Affiliate account here: [https://netid.uconn.edu/affiliate](https://nam10.safelinks.protection.outlook.com/?url=https%3A%2F%2Fnetid.uconn.edu%2Faffiliate&data=02%7C01%7Cpaul.majkut%40uconn.edu%7C9d3abc53587e443193ac08d85bf99f42%7C17f1a87e2a254eaab9df9d439034b080%7C0%7C0%7C637360474975330841&sdata=HwTF2IjmewKaJ4ETh73XZk%2BBSP1Nf7nfLhFpNB5h7AQ%3D&reserved=0)  Affiliate Accounts  New (the blue button).  It requires their date of birth and select 12 months for annual renewal.

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Name** | **UConn NetID****(Required for SRI Access)** | **Institution** | **Role** | **Country of Citizenship** | **Country of Origin** | **Immigration Status** |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |

1. How does your project receive data from your sponsors?
	1. Describe current solution

Click or tap here to enter text.

1. How do you share data with your sponsor?
	1. Describe current solution

Click or tap here to enter text.

1. How does your project store data and what is the aggregate size of your data currently and future anticipation?
	1. Describe current solution

Click or tap here to enter text.

1. How do you share data with your team?
	1. Describe current solution

Click or tap here to enter text.

1. Where do you perform your work?
	1. Describe current solution

Click or tap here to enter text.

1. Is there specific software you use or will use to perform your research? Please list specific versions you are using and whether you are required to use a specific version.
	1. Describe current solution

Click or tap here to enter text.

1. Do you have specific operating system requirements?

Click or tap here to enter text.

10. **Physical Space – If your project requires using physical hardware that will be stored on site, please complete this section. This includes external components or equipment that is outside of the SRI environment that will process or maintain CUI, please identify as such. Otherwise, skip to #11.**

 a. Do you have a dedicated lab where participants work? [ ]  Yes or [ ]  No

 i. Building Name Click or tap here to enter text.

 ii. Street Address, Town, State Click or tap here to enter text.

 b. Describe the physical security controls of the lab, i.e. cameras, locks, required badge access.

 Click or tap here to enter text.

11**. Computers & Devices** – Please identify all university owned or personally owned computer systems you use to conduct University business and store data.

a. Current Hardware

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Researcher Name** | **Device Type**Servers, Laptop, Desktop, PDA, etc. | **Host name** | **Operating System**Mac | Windows | Linux | **Physical or Virtual** |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

b. Proposed Hardware

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Researcher Name** | **Device Type**Servers, Laptop, Desktop, PDA, etc. | **Host name** | **Operating System**Mac | Windows | Linux | **Physical or Virtual** |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

12. **Media Protection** – ITS performs backups of NetApp data. If ITS will not perform backups, please describe the backup methodology for each system listed above.

|  |  |  |  |
| --- | --- | --- | --- |
| **machine name** | **Type and Location**Departmental Network Backup, Local Tape, Local DVD, etc.? | **Schedule for Backup**Daily, Weekly, Irregularly? | **Amount** Size in Gb |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

13. Does your research require High Performance Computing? [ ]  Yes [ ]  No

14. Communications

 A. Describe how you communicate to your sponsor

 Click or tap here to enter text.

 B. Describe how you communicate with your team

 Click or tap here to enter text.

15. Describe how you use your data.

 Click or tap here to enter text.

16. Provide any additional information regarding your project not detailed on this form.

 Click or tap here to enter text.

|  |
| --- |
| When completed send to Paul Majkut, paul.majkut@uconn.edu for review. A designated ITS-ISO representative will reach out to you to conduct an initial SRI intake form meeting. |
| * The meeting will be to go over the form, answer any questions how the SRI system works, and go over your technical requirements including if there are any external systems, components, or equipment needed outside of the SRI environment.
* Any technical requirements that are beyond the scope of the SRI environment may require the purchase of a dedicated SRI Laptop (setup by ITS) and/or any other external systems, components, or equipment.
 |

|  |
| --- |
| For internal use only: |
| Number of external components/systems and additional OSs if needed: \_\_\_\_Are requirements needed for research outside of the normal scope of the SRI: \_\_\_\_ NIST SP 800-171 security control requirements will need to be reviewed.Is there a requirement for a dedicated SRI laptop or desktop to be configured by ITS: \_\_\_\_ |